



PATENT
Docket No.: 37799.00200

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : HOBBERMAN, Gary
Serial No. : 10/718,885 **Group Art Unit** : 2193
Filed : November 21, 2003 **Examiner** : Chavis
For : **SYSTEM AND METHOD FOR DATA FILE PROCESSING**

DECLARATION OF LESTAN D'SOUZA

COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

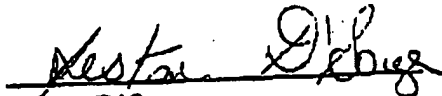
Sir:

Pursuant to 37 C.F.R. § 1.48, please correct inventorship for the above-identified patent application to reflect the inventors as: Gary Hoberman and Lestan D'Souza.

The above-identified patent application was filed on November 21, 2003, and originally named only Gary Hoberman as an inventor. A subsequent investigation determined that I should also be named as an inventor. The failure to name me as an inventor on the original application was done without any deceptive intent on my part.

I have executed a declaration of inventorship for this application.

Respectfully submitted,
Milbank, Tweed, Hadley & McCloy, LLP


Lestan D'Souza

January 21, 2010



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Applicant(s) : HOBBERMAN, Gary
Serial No. : 10/718,885 **Group Art Unit** : 2193
Filed : November 21, 2003 **Examiner** : Chavis
For : ***SYSTEM AND METHOD FOR DATA FILE PROCESSING***

**CONSENT OF ASSIGNEE REQUESTING
CORRECTION OF INVENTORSHIP**

COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

When the above-identified patent application was filed on November 21, 2003, it named only Gary Hoberman as an inventor. A subsequent investigation determined that Lestan D'Souza is also an inventor and should be named along with Mr. Hoberman. Mr. D'Souza has executed a declaration attesting to his lack of deceptive intent and a declaration of inventorship.

Both Mr. Hoberman and Mr. D'Souza have also executed assignments of their inventive rights in this patent application to Citigroup Global Markets, Inc. Copies of those assignments are attached hereto. The assignment by Mr. Hoberman was recorded at reel/frame 015919/0940. The assignment by Mr. D'Souza will be recorded in due course.

I am an officer of Citigroup Global Markets, Inc. and I am authorized to execute this Consent on behalf of Citigroup Global Markets, Inc.

Pursuant to 37 C.F.R. § 1.48(a)(5), Citigroup Global Markets, Inc. hereby consents to correction of inventorship on the above-identified patent application to name both Gary Hoberman and Lestan D'Souza as inventors.

Respectfully submitted,

A handwritten signature in black ink, appearing to be 'Moshe Malina', written over a horizontal line.

Moshe Malina

January 25, 2010

PATENT

Docket No. 37799-00200

ASSIGNMENT OF APPLICATION FOR PATENT

WHEREAS:

D'SOUZA, Leston, 388 Greenwich St., 15th Fl., New York, NY 10013

(full name(s) and post office address(s) of inventor(s) (including country))

(hereinafter referred to as ASSIGNOR(S)), has made a discovery or invention entitled:

METHOD AND SYSTEM FOR DATA FILE PROCESSING

(title of discovery or invention)

☐ for which application for Letters Patent of the United States has been executed on even date herewith,☒ for which application for Letters Patent of the United States has been filed on November 21, 2003, under Serial No. 10/718,885, and

WHEREAS:

Citigroup Global Markets Inc., 388 Greenwich Street, New York, NY 10013

(name and address of assignee)

(hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefore in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:

Be it known that in consideration of good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S) hereby sells, assigns and transfers to ASSIGNEE, its successors, legal representatives and assigns, the full and exclusive right, title and interest to said discovery or invention in the United States and its territorial possessions and in all foreign countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

I, SAID ASSIGNOR(S), hereby authorize and request the Commissioner of Patents and Trademarks of the United States of America and any Official of any country or countries foreign to the United States of America whose duty it is to issue Letters Patent on applications as aforesaid, to issue all such Letters Patent for said discovery or invention to the ASSIGNEE, as assignee of the entire right, title and interest in, to and under the same, for the sole use and behalf of the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

I, SAID, ASSIGNOR(S), hereby covenant that I have full right to convey the entire right, title and interest herein sold, assigned, transferred and set over;

AND I, SAID ASSIGNOR(S) further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNOR(S) and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

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Assignor's signature: Lestan D'Souza
Lestan D'SOUZA

Citizenship: U.S.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my seal this 9th day of May,
2001.

STATE OF)

ss.:

COUNTY OF)

On this _____ day of _____, 20____, before me, the undersigned authority, personally appeared to me
known and known to me to be the individual who is described in and who executed the foregoing Assignment, and
who duly acknowledged to me that he executed the same as his own voluntary act and deed for the uses and
purposed therein specified.

Notary Public

PATENT

Docket No. 37799-00200

ASSIGNMENT OF APPLICATION FOR PATENT

WHEREAS:

HOBERMAN, Gary A., 1930-28 Sunrise Hwy., Merrick, New York 11566

(full name(s) and post office address(s) of inventor(s) (including country))

(hereinafter referred to as ASSIGNOR(S)), has made a discovery or invention entitled:

METHOD AND SYSTEM FOR DATA FILE PROCESSING

(title of discovery or invention)

☐ for which application for Letters Patent of the United States has been executed on even date herewith,

☒ for which application for Letters Patent of the United States has been filed on November 21, 2003, under Serial No. 10/718,865, and

WHEREAS:

Citigroup Global Markets Inc., 328 Greenwich Street, New York, NY 10013

(name and address of assignee)

(hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefore in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:

Be it known that in consideration of good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S) hereby sells, assigns and transfers to ASSIGNEE, its successors, legal representatives and assigns, the full and exclusive right, title and interest to said discovery or invention in the United States and its territorial possessions and in all foreign countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

I, SAID ASSIGNOR(S), hereby authorize and request the Commissioner of Patents and Trademarks of the United States of America and any Official of any country or countries foreign to the United States of America whose duty it is to issue Letters Patent on applications as aforesaid, to issue all such Letters Patent for said discovery or invention to the ASSIGNEE, as assignee of the entire right, title and interest in, to and under the same, for the sole use and behalf of the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

I, SAID, ASSIGNOR(S), hereby covenant that I have full right to convey the entire right, title and interest herein sold, assigned, transferred and set over;

AND I, SAID ASSIGNOR(S) further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNOR(S) and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

Assignor's signature: _____

Gary A. HOERMAN

Citizenship: U.S.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my seal this 15th day of April, 2005

STATE OF NY

ss.:

COUNTY OF New York

On this 15th day of April, 2005 before me, the undersigned authority, personally appeared to me known and known to me to be the individual who is described in and who executed the foregoing Assignment, and who duly acknowledged to me that he executed the same as his own voluntary act and deed for the uses and purposed therein specified:

Notary Public

COURTNEY FIELDS
Notary Public, State of New York
No. 01F16086003
Qualified in New York County
Commission Expires July 21, 2007